

## **Sickle cell disease and the incidence and etiology of preterm birth**

### **Authors:**

Victoria Fashakin, MD<sup>1</sup>  
Jeremy M. Weber, MS<sup>2</sup>  
Tracy Truong, MS<sup>2</sup>  
Amanda Craig, MD<sup>1</sup>  
Sarahn M. Wheeler, MD<sup>1</sup>  
Andra H. James, MD, MPH<sup>1</sup>

### **Institution:**

Duke University  
<sup>1</sup>Department of Obstetrics & Gynecology  
<sup>2</sup>Department of Biostatistics & Bioinformatics

### **Conflicts of Interest:**

Andra H. James, MD, MPH<sup>1</sup> - Research funding from Coagulant Therapeutics; Consulting fees from Octapharma, Cerus, HemoSonics and Coagulant Therapeutics

**Funding:** None

### **Corresponding author:**

Andra H. James, MD, MPH  
2608 Erwin Rd, Durham, NC, 27705  
Phone: 919-668-0011  
Email: [andra.james@duke.edu](mailto:andra.james@duke.edu)

**Acknowledgements:**

The Duke BERD Methods Core's support of this project was made possible in part by CTSA Grant (UL1TR002553) from the National Center for Advancing Translational Sciences (NCATS) of the National Institutes of Health (NIH), and the NIH Roadmap for Medical Research. Its contents are solely the responsibility of the authors and do not necessarily represent the official views of NCATS or NIH.

Dr. Wheeler is supported by the National Center for Advancing Translational Sciences of the National Institutes of Health under Award Number 1KL2TR002554. This funding agency had no role in design and conduct of the study; collection, management, analysis, interpretation of the data; preparation, review, approval of the manuscript; or decision to submit the manuscript for publication. The content is solely the responsibility of the authors and does not necessarily represent the official views of the National Institutes of Health.

Dipali Pandya and Kaye Schlitz, BSN, Departmental Analytics Resource Team (DART); Alyssa Sabenicio, Duke Office of Clinical Research (DOCR).

1 **Title:** Sickle cell disease and the incidence and etiology of preterm birth

2

3 **Condensation:** Maternal sickle cell disease confers nearly triple the risk of preterm birth which is twice  
4 as likely to be medically indicated as opposed to spontaneous.

5

6 **Short title:** Sickle cell disease and preterm birth

7

8 **Word count:** 3213

9

10

11 **AJOG at a Glance:**

12 A. Why was this study conducted?

13 • Pregnant individuals with sickle cell disease (SCD) are at an increased risk of preterm  
14 birth, but the etiology has not previously been elucidated.

15 B. What are the key findings?

16 • Maternal SCD confers nearly triple the risk of preterm birth which is twice as likely to be  
17 medically indicated as opposed to spontaneous.

18 • Medically indicated preterm births were primarily due to a hypertensive disorder of  
19 pregnancy, fetal growth restriction, or a complication of SCD.

20 • In a small number of cases more than one medical indication was identified, most  
21 commonly a vaso-occlusive crisis and a hypertensive disorder of pregnancy.

22 C. What does this study add to what is already known?

23 The findings provide additional insights into the etiology of preterm birth and raise the question that

24 therapies which improve perfusion might decrease complications from SCD in pregnancy, including

25 placental-mediated complications.

26

27

28

29

30 **Key Words**

31 sickle cell disease

32 preeclampsia

33 preterm delivery

34 pregnancy

35 vaso-occlusive crisis

36

37

38

39

40

41

42

43

44

45

46

47

48

49

50

51

52

53

54 **Abstract**

55 **Background:**

56 Medically indicated delivery can be defined as delivery due to intervention for maternal or fetal well-  
57 being—most commonly due to preeclampsia or non-reassuring fetal status. Among the general  
58 population of the United States, approximately two-thirds of preterm deliveries are due to spontaneous  
59 labor and/or premature rupture of the membranes while the remaining one third are medically  
60 indicated. Despite the increased risk of preterm birth among women with sickle cell disease (SCD), the  
61 specific etiologies have not been described in the medical literature. Without an understanding of the  
62 etiologies of preterm birth in women with SCD, it is difficult to develop preventative strategies.

63 **Objective:**

64 To estimate the incidence and etiologies of preterm births (spontaneous vs medically indicated) in  
65 women with SCD.

66 **Study Design:**

67 This was a retrospective, IRB-exempt cohort study of deliveries greater than 20 weeks gestation in  
68 women with SCD at Duke University Hospital (2013-2020). We screened pregnancy-linked  
69 hospitalizations with SCD ICD-9/10 codes (n=373). We excluded cases of pregnancy less than 20 weeks  
70 gestation, multiple gestation, and unproven SCD. We limited inclusion to deliveries within Duke (n=66).  
71 We compared the proportion of preterm birth cases between the SCD cohort and overall Duke  
72 population (n=18,365), and the proportion of spontaneous vs medically indicated preterm births with a  
73 racially matched US population.

74 **Results:**

75 Of the 66 pregnancies, 65 occurred in patients who self-described as Black (98.5%). There were 60.6% (n  
76 = 40) term and 39.4% (n = 26) preterm births compared to 85.9% term (n = 15,771) and 14.1% preterm  
77 (n = 2,594) births in the Duke population as a whole. The SCD cohort was nearly 3 times more likely to

78 deliver preterm than the Duke cohort (risk ratio [RR] = 2.79, 95% confidence interval [CI] = 2.06, 3.77; p  
79 < 0.001). Among the 26 preterm births in the SCD cohort, 30.8% (n = 8) were spontaneous and 69.2% (n  
80 = 18) were medically indicated. In the U.S Black population comparison cohort, 65.4% (n = 392,984) of  
81 preterm births were spontaneous and 34.6% (n = 207,614) were medically indicated. The SCD cohort  
82 had 2 times the risk of medically indicated preterm birth compared to the U.S. population cohort (RR =  
83 2.00, 95% CI = 1.55, 2.59; p < 0.001).

84 **Conclusion:**

85 Maternal SCD confers nearly triple the risk of preterm birth which is twice as likely to be medically  
86 indicated.

87

88

## 89 Introduction

90 Sickle cell disease (SCD) is a hematological disorder in which abnormal sickle-shaped red blood  
91 cells disrupt the flow in small vessels leading to distal tissue ischemia and inflammation, and confers  
92 substantial morbidity and mortality to affected individuals.<sup>1</sup> As recently as the 1970's, median life  
93 expectancy for individuals with sickle cell disease largely precluded reproductive success. However, in  
94 the past 50 years the anticipated life expectancy has nearly quadrupled—from a median age of 14 in the  
95 1970s to 48 for women by the early 2000s.<sup>2</sup> A significant proportion of individuals with sickle cell  
96 disease now live well into their reproductive years and even beyond.

97 For people with SCD who live into their reproductive years, pregnancy presents unique risks.  
98 Based on a systematic review and meta-analysis by Oteng-Ntim, et al., pregnant individuals with SCD are  
99 at a four-fold increased risk of stillbirth and six-fold increased risk of maternal death compared to the  
100 general population.<sup>3</sup> They are also at a two-fold increased risk of preterm birth and at an increased risk  
101 for a variety of perinatal complications that may lead to preterm birth, such as preeclampsia and fetal  
102 growth restriction.<sup>4</sup> In the United States, approximately one in ten infants are born preterm.<sup>5</sup> The  
103 etiology of preterm birth falls into two main classifications: delivery due to spontaneous labor and/or  
104 premature rupture of the membranes and medically-indicated delivery. Medically indicated delivery is  
105 defined as delivery due to intervention for maternal or fetal well-being—most commonly due to  
106 preeclampsia or non-reassuring fetal status. Among the general population of the United States,  
107 approximately two-thirds of preterm deliveries are due to spontaneous labor and/or premature rupture  
108 of the membranes while the remaining one third are medically indicated.<sup>6,7</sup> Despite the increased risk of  
109 preterm birth among women with SCD, the specific etiologies have not been described in the medical  
110 literature. Without an understanding of the etiologies of preterm birth in women with SCD, it is difficult  
111 to develop preventative strategies.

112 In this study, our aim was to describe the incidence and cause of preterm birth in the population  
113 of women with SCD at Duke University Hospital.

## 114 **Materials and Methods**

### 115 *Study design*

116 This was a retrospective, Institutional Review Board (IRB)-exempt cohort study of pregnancy  
117 outcomes at 20 and 0/7 weeks gestation and beyond in pregnant individuals with SCD at Duke  
118 University Hospital from July 1, 2013 to January 1, 2020. We compared the proportion of preterm birth  
119 cases between the Duke SCD cohort and the overall Duke population and compared the proportion who  
120 had spontaneous (defined as due to spontaneous labor and/or premature rupture of the membranes)  
121 versus medically-indicated (defined as due to an intervention for maternal or fetal well-being) preterm  
122 birth with a racially-matched U.S. population from a similar time period. See the description of *Subjects*  
123 below.

### 124 *Subjects*

125 Pregnancies were identified from the electronic medical record (Epic – Verona, WI) through a  
126 search performed by the Duke Departmental Analytics Resource team (DART) who searched for  
127 pregnancy-linked admissions with an SCD diagnosis code. The date range for data collection extended  
128 from the implementation of the Epic electronic medical record system within the Duke University Health  
129 System (July 1, 2013) and terminated at the time of IRB submission (January 1, 2020). Pregnancy-linked  
130 hospital admissions with SCD ICD-9/10 diagnosis codes were manually screened (n = 373) by an OBGYN-  
131 trained physician (VF). Pregnancy encounters were identified from the hospital admissions and  
132 subsequently reviewed for eligibility (n = 107). Exclusion criteria were pregnancy less than  
133 20 weeks gestation at delivery, multiple gestation, and maternal SCD not documented. Inclusion criteria  
134 included pregnancy equal to or greater than 20 weeks at delivery, maternal SCD confirmed by  
135 hemoglobin electrophoresis, and delivery within the Duke University Health System (n = 66). During the



136 study period, not all of the women with SCD who received their sickle cell care and/or their prenatal  
137 care at Duke, delivered at Duke. There were a small number of women who delivered at another  
138 institution. Since they did not deliver at Duke, their pregnancies were not captured by our search and  
139 were excluded from the study. There were an additional small number of patients who received their  
140 initial care outside the Duke Health System, but delivered at Duke. Since they delivered at Duke, they  
141 were included. During the study period, patients with SCD received individualized care based on their  
142 needs, but were generally cared for by both maternal-fetal medicine and the sickle cell team. Most  
143 patients presented during pregnancy and had not received preconception counseling. During  
144 pregnancy, low-dose aspirin was routinely prescribed. Except for patients with multiple red cell  
145 antibodies, transfusions were administered monthly when necessary to maintain a hemoglobin of 8  
146 g/dL. Hydroxyurea was not routinely prescribed. Fetal surveillance included monthly ultrasounds for  
147 growth in the third trimester and once or twice weekly antenatal testing starting at 32 weeks gestation.  
148 Timing of delivery was planned for no later than 39 weeks and planned for 37 weeks in patients with  
149 sickle SS genotype or a complicated prenatal course.

150         The comparison group for the proportion of women that had a preterm birth was the overall  
151 Duke population. That cohort was developed by retrospective review of all deliveries greater than 20  
152 weeks gestation from a similar time period (from March 2014 to July 2020) and has been described  
153 elsewhere.<sup>8</sup> The comparison group for the proportion of women that had medically indicated preterm  
154 births was generated from U.S. singleton natality data for Black women from the latest data that had  
155 been compiled and published as such for the U.S. as a whole (2000).<sup>7</sup>

#### 156 *Procedures*

157         An electronic case report was created for each eligible pregnancy (n = 66). Thus, a woman with  
158 more than one pregnancy may have contributed more than one case. Case report forms were saved  
159 in the Research Electronic Data Capture (REDCap) secure web application to create an administrative

160 database. Decisions regarding classification of clinical data were made with strict adherence to the  
161 American College of Obstetrics and Gynecology (ACOG) clinical definitions for fetal growth restriction<sup>9</sup>  
162 and hypertensive disorders of pregnancy.<sup>10</sup> Demographic data included age, gravidity and parity, marital  
163 status, self-described race and ethnicity, and the number of documented prenatal care visits.  
164 Assessment of maternal health characteristics included SCD genotype, pre-pregnancy body mass index,  
165 and tobacco use. Pregnancy outcome at birth, interpregnancy intervals, pregnancy complications, and  
166 mode of delivery were also recorded. The case reports concluded with neonatal outcomes such as  
167 gestational age, birthweight, 5-minute APGAR scores, length of hospitalization, need for admission to  
168 neonatal intensive care unit (NICU), and neonatal mortality within 28 days of life. (Five-minute APGARs  
169 were chosen over one-minute Apgar scores due to their higher predictive value for long term cognitive  
170 outcomes.<sup>11</sup>)

#### 171 *Analysis*

172 Maternal demographics, health characteristics, delivery characteristics, and neonatal outcomes  
173 were described. Continuous variables were reported as either mean (standard deviation [SD]) or median  
174 (Q1 = 25<sup>th</sup> percentile, Q3 = 75<sup>th</sup> percentile), as appropriate, and range. Categorical variables were  
175 reported as frequency (percent).

176 The proportion of women that had a preterm birth in the SCD cohort was compared to the  
177 overall Duke population. Among the preterm births, the proportion that were medically indicated in the  
178 Duke SCD cohort were compared with the U.S. singleton natality data for Black women. Pearson's chi-  
179 square test was used for both comparisons. Risk ratios (RR), 95% confidence intervals (CI), and p values  
180 were reported. All analyses were performed in SAS 9.4 (SAS Institute, Cary, NC) at a two-tailed  
181 significance level of 0.05.

#### 182 **Results**

183           There were 66 eligible pregnancies in the Duke SCD cohort. There were a total of 54 unique  
184 patients who had 66 pregnancies which were analyzed. Seven had two pregnancies, one had three  
185 pregnancies and one had four pregnancies. The mean (SD) age of parturients was 27.9 (5.4)  
186 years. There were a median (Q1, Q3) of 9 (5, 12) prenatal care visits per pregnancy. The median (Q1, Q3)  
187 for gravidity and parity of parturients was 2 (1, 4) and 1 (0, 1), respectively. In half of the pregnancies,  
188 parturients were married at the time of delivery. All, except one patient who did not report race, self-  
189 identified as Black or African American. One patient additionally identified as Hispanic. (See Table 1).

190           With regards to maternal health characteristics (see Table 2), the most common sickle cell  
191 genotype was HbSS (50.0%; n = 33), followed by HbSC (27.3%; n = 18), HbSB+ (16.7%; n = 11), and then  
192 other genotypes (6.1%; n = 4). Most pregnancies were in women of either normal weight (39.4%; n =  
193 26) or overweight (30.3%; n = 20) prior to pregnancy. Fewer than 10% (n = 5) were underweight prior to  
194 pregnancy. Nearly 20% (n = 12) of pregnancies were in women who were obese with a body mass index  
195 of 30 kg/m<sup>2</sup> or greater. Information regarding maternal tobacco use was available for 91% of  
196 cases. Almost 75% (n = 48) of pregnancies were in women who did not use tobacco. An additional 8% (n  
197 = 5) were in women who quit tobacco use prior to pregnancy. Ten percent (n = 7) were in women who  
198 reported tobacco use during pregnancy. All but one delivery resulted in a live birth (n = 65).

199           In the full cohort of 66 pregnancies, there were 60.6% (n = 40) term and 39.4% (n = 26) preterm  
200 births (see Table 3). In the Duke comparison cohort, there were 85.9% (n = 15,771) term and 14.1% (n =  
201 2,594) preterm births (see Table 4). The SCD cohort was nearly three-times more likely to deliver  
202 preterm compared to the Duke comparison cohort (RR = 2.79; 95% CI = 2.06, 3.77; p < 0.001). Among  
203 the 26 preterm births in the SCD cohort, 30.8% (n = 8) were spontaneous and 69.2% (n = 18) were  
204 medically indicated. In the U.S population comparison cohort, 65.4% (n = 392,984) of preterm births  
205 were spontaneous and 34.6% (n = 207,614) were medically indicated. The SCD cohort was twice as likely  
206 to have a medically indicated preterm birth (RR = 2.00, 95% CI = 1.55, 2.59; p < 0.001). The median (Q1,

207 Q3) interpregnancy interval for term births was 3.8 years (1.1, 5.6), for spontaneous preterm  
208 births was 4.5 years (2.7, 5.0), and for medically indicated preterm births was 2.6 years (1.0, 7.2).

209 Medically indicated preterm births were primarily due to a hypertensive disorder of pregnancy,  
210 fetal growth restriction, or a complication of SCD (Table 3). In a small number of cases more than one  
211 medical indication was identified, most commonly a vaso-occlusive crisis and a hypertensive disorder of  
212 pregnancy. Nearly 50% (n = 8) of all medically indicated preterm births were due to a hypertensive  
213 disorder of pregnancy. Preeclampsia was the diagnosis in two thirds (n = 5) of the cases of a  
214 hypertensive disorder of pregnancy. Fetal growth restriction accounted for more than 20% (n = 4) of  
215 medically indicated preterm deliveries. Worsening SCD was the medical indication for preterm birth  
216 in an additional 20% (n = 4) of cases. Another 10% (n = 2) were delivered preterm for cholestasis of  
217 pregnancy. An additional 17% (n = 3) were delivered due to the placental-mediated complications of  
218 oligohydramnios (n = 2) and placental abruption (n = 1). Cesarean delivery was the most common mode  
219 of delivery for both medically indicated and spontaneous preterm births. Nearly 60% (n = 14) of preterm  
220 deliveries were by cesarean, whereas only 35% (n = 14) of term deliveries were by cesarean.

221 Neonatal outcomes are outlined in Table 5. The median (Q1, Q3) gestational age and  
222 birthweight was 37 0/7 (36 0/7, 39 0/7) weeks and 2600 (2250, 3165) grams, respectively. Birthweight  
223 was lower for babies born preterm compared to term, but was remarkably lower for babies born  
224 preterm for a medical indication compared to those born preterm as a result of spontaneous labor  
225 (median birthweight 1918 grams vs 2395 grams) despite the same median gestation age of 35 0/7  
226 weeks. The median 5-minute Apgar scores were similar between term, spontaneous preterm, and  
227 medically indicated preterm births. The median length of stay in the hospital was shorter for neonates  
228 born at term. Neonates born after a medically indicated preterm birth, however, had a longer median  
229 hospital stay compared to those born after a spontaneous preterm birth (6.7 days vs 5.7 days), despite  
230 the same median gestational age. Admission to the neonatal intensive care unit (NICU) occurred after

231 50% (n = 4) of spontaneous preterm birth and after 61% (n = 11) of medically indicated preterm births.  
232 Fewer than 20% (n = 7) of neonates born at term required NICU admission. Two neonatal deaths  
233 occurred, one in each preterm group.

## 234 **Discussion**

### 235 *Principal findings*

236 We found that the SCD cohort was nearly three times more likely to deliver preterm compared  
237 to the Duke cohort, despite the fact that the women with SCD had low rates of other risk factors for  
238 preterm birth such as smoking, close interval pregnancy for those with parity greater than 0, late or  
239 limited prenatal care, and extremes of maternal age. Among the women who delivered preterm,  
240 however, they were twice as likely to have a medical indication for preterm delivery compared to the  
241 Black women who delivered preterm in the U.S. as a whole. Qualitative and quantitative analysis of the  
242 etiologies of the medically indicated preterm deliveries revealed mechanisms that were overwhelmingly  
243 placental-mediated. Two-thirds of all medically indicated preterm deliveries were due to a hypertensive  
244 disorder of pregnancy (including preeclampsia), or fetal growth restriction. In addition, another 20% of  
245 medically indicated preterm deliveries were due to placental compromise manifest by either  
246 oligohydramnios or placental abruption.

### 247 *Results in the context of what is known*

248 In a systematic review and meta-analysis of 21 studies describing more than 26,000 women with  
249 SCD, Oteng-Ntim et al found, as did we, a two-fold increased risk of preterm delivery in women with  
250 SCD. However, the results of the systematic review and meta-analysis did not differentiate between  
251 spontaneous and medically indicated preterm delivery, nor has this been reported elsewhere. Oteng-  
252 Ntim also reported a two-fold increased risk of preeclampsia in women with SCD. The rate of  
253 preeclampsia in the U.S is approximately 2-8%. The rate of any hypertensive disorder of pregnancy  
254 among our SCD cohort was 15%.

255 *Clinical and research implications*

256           The increased risk of preterm delivery is recognized among women with SCD,<sup>3,4,12</sup> as is the  
257 increased risk of preeclampsia<sup>3,4</sup> and fetal growth restriction or small for gestational age,<sup>3,4,12,13</sup> but the  
258 disproportionate contribution of hypertensive disorders of pregnancy and fetal growth restriction to the  
259 incidence of preterm delivery, conditions which are placental-mediated, and the contribution of  
260 worsening SCD, have not been described previously. Preterm labor arises from one or more  
261 pathological processes that activate a mechanism or mechanisms that lead to parturition.<sup>14</sup> These  
262 pathological processes may include deregulation of the immune system and an exaggeration of  
263 inflammatory processes,<sup>14</sup> both of which are more likely to be present in women with SCD<sup>1,15</sup> and  
264 contribute to an increased incidence of spontaneous preterm labor. An even greater contributor to the  
265 increased incidence of preterm delivery, however, appears to be placental ischemia. Poor placental  
266 perfusion results in stillbirth, fetal growth restriction, oligohydramnios, placental abruption and  
267 preeclampsia. In 1989, Roberts et al<sup>16</sup> proposed that a poorly perfused placenta releases factors into  
268 the maternal systemic circulation that damage endothelial cells, setting in motion a cascade of  
269 coagulation, vasoconstriction, and intravascular fluid redistribution that results in the clinical syndrome  
270 we recognize as preeclampsia. While our understanding of preeclampsia and the hypertensive disorders  
271 of pregnancy has become more refined,<sup>17</sup> the basic paradigm remains intact. Preeclampsia is an  
272 endothelial disease. In SCD, the endothelium is already chronically activated or otherwise in a state of  
273 dysfunction.<sup>18</sup> It should not be a surprise then that pregnant women with SCD are particularly  
274 vulnerable to the adverse endothelial consequences of a poorly perfused placenta.

275 *Strengths and limitations*

276           Although previous studies have described the increased rates of prematurity and the increased  
277 risk of preeclampsia and fetal growth restriction in women with SCD, the specific etiologies of preterm  
278 birth in women with SCD have not previously been described. Furthermore, prior large studies were

279 from administrative databases. In contrast, in this study each patient record and the peripartum course  
280 of each patient in the SCD cohort was manually reviewed. There was very little missing patient data for  
281 the parameters examined. The detailed review of patients' characteristics and their clinical course  
282 permitted inferences about etiologies of preterm birth.

283           Limitations of this study relate primarily to the retrospective nature of the study and the  
284 relatively small sample size. Given the small sample size, all comparisons were unadjusted.  
285 Furthermore, the numbers were insufficient to draw any conclusions about differences in outcomes by  
286 sickle cell genotype, nor were there enough pregnancies in the SCD cohort to adequately evaluate  
287 trends in outcomes over time. As described, the cohort was obtained from a single center by  
288 retrospective review. Unlike data collected from patients enrolled in a prospective study, the data in this  
289 study was collected retrospectively from documentation made in the course of clinical care. Thus, the  
290 structure and consistency of the documentation differed from that expected in a prospective study.  
291 Clinical care was not dictated by a study protocol and during the course of the data collection period  
292 (2013-2020) there may have been changes in clinical practice at the institutional and at the national  
293 level. Among the preterm births, the proportion that were medically indicated in the Duke SCD cohort  
294 were compared with the most recently published U.S. singleton natality data for Black women (from  
295 2000). It would have been optimal to compare the proportion that were medically indicated in the  
296 Duke SCD cohort with contemporaneous US data (2013-2020). Also, multiple pregnancies occurred  
297 within the same patient, in both the Duke SCD cohort and presumably in the Duke comparison cohort,  
298 and would not technically be considered "independent," an important underlying assumption of many  
299 statistical tests, including otherwise uncomplicated unadjusted analyses as were done in this study.  
300 Women were not bound to deliver at Duke. Not all of the women with SCD who received their sickle cell  
301 care and/or their prenatal care at Duke, delivered at Duke. Consequently, their pregnancies were  
302 excluded from the study. A small number who received their initial care outside the Duke Health

303 System, delivered at Duke and were included. Nonetheless, the vast majority of the patients both  
304 received their care and delivered at Duke. It should be noted that while the sample size was small, this  
305 SCD cohort represents a large series for this rare condition in pregnancy and allowed for a detailed  
306 review of each individual case, which would not have been possible in a larger, database study.

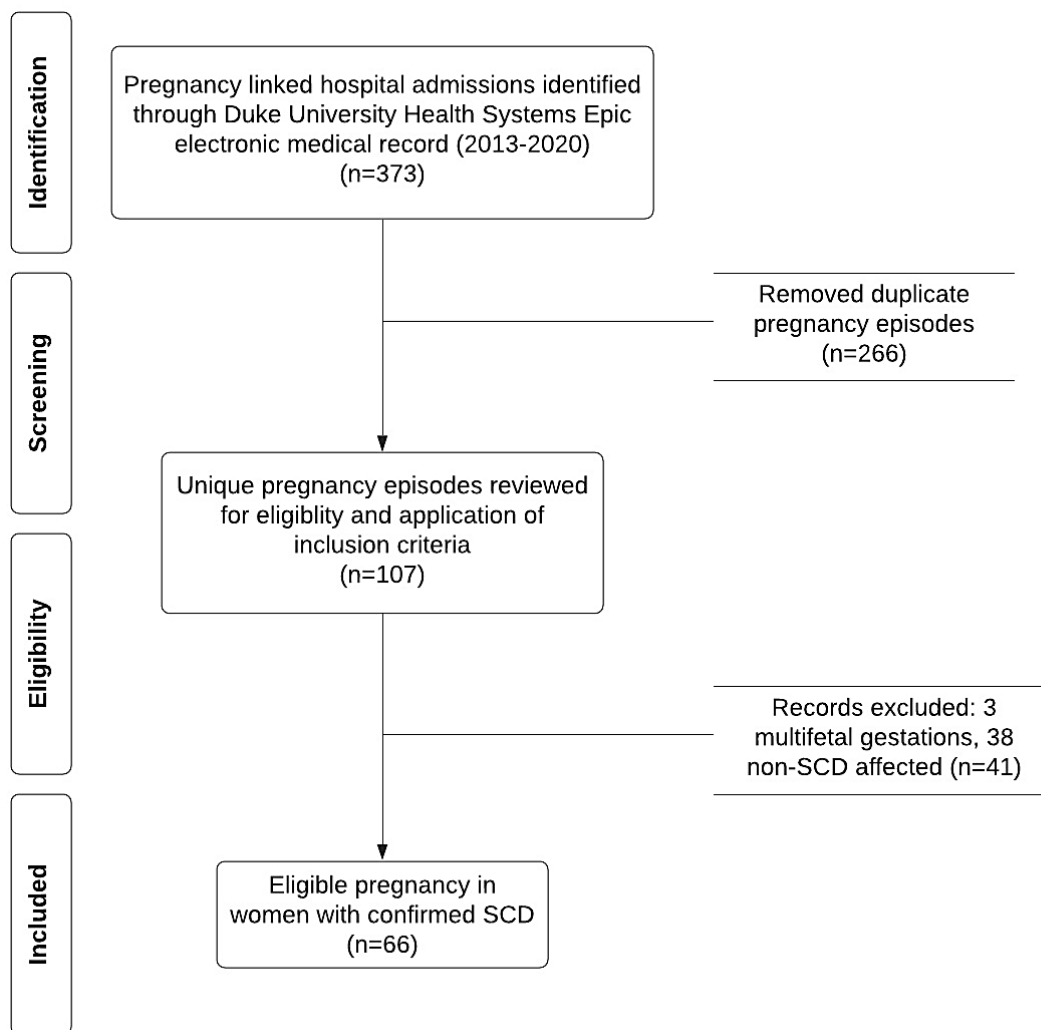
307 *Conclusions*

308           What previously may not have been considered is that impaired vascular perfusion in SCD will  
309 lead to maternal organ impairment and placental ischemia resulting in complications from SCD, and  
310 placental-mediated adverse pregnancy outcomes such as preeclampsia, other hypertensive disorders of  
311 pregnancy, fetal growth restriction, oligohydramnios, placental abruption and stillbirth. The findings  
312 provide additional insights into the etiology of preterm birth and raise the question that therapies which  
313 improve perfusion might decrease complications from SCD in pregnancy, including placental-mediated  
314 complications. The same mechanisms that result in acute and chronic organ damage in SCD likely result  
315 in perinatal inflammation and placental ischemia, and the same therapies that decrease inflammation  
316 and improve perfusion in SCD, will likely improve pregnancy outcomes for both women with SCD and  
317 their unborn babies in the future.

318

319





320

321 **Figure 1.** Pregnancy case selection.

<b>Table 1. Maternal demographics</b>	
	Total (N=66)
<b>Age (years)</b>	
Mean (SD)	27.9 (5.4)
Range	(17.0, 40.0)
<b>Number of prenatal care visits</b>	
Median (Q1, Q3)	9 (5, 12)
Range	(0, 16)
<b>Gravida</b>	
Median (Q1, Q3)	2 (1, 4)
Range	(1, 10)
<b>Parity</b>	
Median (Q1, Q3)	1 (0, 1)
Range	(0, 5)
<b>Marital status</b>	
Married	33 (50.0%)
Single	31 (47.0%)
Separated	1 (1.5%)
Divorced	1 (1.5%)
<b>Race</b>	
Black or African American	65 (98.5%)
Unknown or Not Reported	1 (1.5%)
<b>Ethnicity</b>	
Not Hispanic or Latina	65 (98.5%)
Hispanic or Latina	1 (1.5%)

322

323

<b>Table 2. Health characteristics of subjects</b>	
	Total (N=66)
<b>Sickle cell disease genotype</b>	
HbSS	33 (50.0%)
HbSC	18 (27.3%)
HbSB+	11 (16.7%)
Other or unknown	4 (6.1%)
<b>Pre-pregnancy body mass index</b>	
Underweight	5 (7.6%)
Normal	26 (39.4%)
Overweight	20 (30.3%)
Obese	12 (18.2%)
Unknown	3 (4.5%)
<b>Tobacco use during pregnancy</b>	
No	48 (72.7%)
Yes	7 (10.6%)
Quit	5 (7.6%)
Unknown	6 (9.1%)
<b>Pregnancy outcome</b>	
Liveborn	65 (98.5%)
Fetal demise	1 (1.5%)

324

<b>Table 3. Delivery data</b>				
	Term birth (N=40)	Spontaneous preterm birth (N=7)	Medically indicated preterm birth (N=18)	Total (N=65)
<b>Interpregnancy interval (years), among those with parity &gt; 0</b>				
Missing	6/22 (27.3%)	0/6 (0.0%)	0/8 (0.0%)	6/36 (16.7%)
Median (Q1, Q3)	3.8 (1.1, 5.6)	4.5 (2.7, 5.0)	2.6 (1.0, 7.2)	3.9 (1.2, 5.7)
Range	(0.5, 14.5)	(2.6, 12.4)	(0.4, 13.0)	(0.4, 14.5)
<b>Pregnancy complications providing medical indications for delivery</b>				
Hypertensive disorder of pregnancy	6 (15.0%)	0 (0.0%)	8 (44.4%)	14 (21.5%)
<i>Gestational hypertension</i>	4 (66.7%)	0 (0.0%)	0 (0.0%)	4 (28.6%)
<i>Preeclampsia</i>	2 (33.3%)	0 (0.0%)	5 (62.5%)	7 (50.0%)
<i>Eclampsia</i>	0 (0.0%)	0 (0.0%)	2 (25.0%)	2 (14.3%)
<i>HELLP syndrome</i>	0 (0.0%)	0 (0.0%)	1 (12.5%)	1 (7.1%)
Intrahepatic cholestasis	3 (7.5%)	0 (0.0%)	2 (11.1%)	5 (7.7%)
Fetal growth restriction	3 (7.5%)	0 (0.0%)	4 (22.2%)	7 (10.8%)
Vaso-occlusive crisis	16 (40.0%)	0 (0.0%)	4 (22.2%)	20 (30.8%)
<b>Other pregnancy complications at delivery</b>	3 (7.5%)	2 (28.6%)	3 (11.1%)	8 (12.3%)
<b>Delivery mode</b>				
Cesarean	14 (35.0%)	4 (57.1%)	10 (55.6%)	28 (43.1%)
Spontaneous vaginal	22 (55.0%)	3 (42.9%)	8 (44.4%)	33 (50.8%)
Operative vaginal	4 (10.0%)	0 (0.0%)	0 (0.0%)	4 (6.2%)

325

**Table 4. Incidence of preterm birth in Duke and US populations**

	<b>Sickle cell cohort</b>	<b>Duke cohort**</b>	<b>Population data†</b>	<b>Relative risk (95% confidence interval)</b>	<b>P value</b>
Term birth (≥ 37 weeks)	40 (60.6%)	15,771/18,365 (85.9%)	---	---	---
Preterm birth (< 37 weeks)	26 (39.4%)	2594/18,365 (14.1%)	---	2.79 (2.06, 3.77)	<0.001
Spontaneous preterm birth (< 37 weeks) out of all preterm births	8 (30.8%)	---	392,984/600,598 (65.4%)	---	---
Medically indicated preterm birth (< 37 weeks) out of all preterm births	18 (69.2%)	---	207,614/600,598 (34.6%)	2.00 (1.55, 2.59)	<0.001

326 \*\*This sample was collected between March and July of 2014-2020

327 †US singleton natality data for Black women from 2000<sup>7</sup>

328

**Table 5. Neonatal outcomes**

	Term birth (N=40)	Spontaneous preterm birth (N=8)	Medically indicated preterm birth (N=18)	Total (N=66)
<b>Gestational age (weeks)</b>				
Median (Q1, Q3)	38.0 (37.0, 39.0)	35.0 (32.0, 36.5)	35.0 (33.0, 36.0)	37.0 (36.0, 39.0)
Range	(37.0, 40.0)	(20.0, 37.0)	(23.0, 36.0)	(20.0, 40.0)
<b>Birthweight (grams)</b>				
Median (Q1, Q3)	2990.0 (2622.5, 3439.9)	2395.0 (1800.1, 2595.0)	1917.6 (1632.1, 2340.0)	2599.9 (2250.1, 3164.9)
Range	(2059.9, 4035.0)	(370.0, 2800.1)	(375.9, 2554.9)	(370.0, 4035.0)
<b>5 minute APGARs</b>				
Median (Q1, Q3)	9.0 (9.0, 9.0)	8.0 (6.0, 9.0)	9.0 (8.0, 9.0)	9.0 (9.0, 9.0)
Range	(3.0, 9.0)	(0.0, 9.0)	(1.0, 9.0)	(0.0, 9.0)
<b>Length of hospitalization</b>				
Missing	1 (2.5%)	0 (0.0%)	0 (0.0%)	1 (1.5%)
Median (Q1, Q3)	2.5 (1.9, 3.6)	5.7 (1.9, 19.9)	6.7 (3.7, 11.2)	3.1 (2.1, 6.7)
Range	(1.2, 27.8)	(0.2, 31.0)	(0.2, 160.0)	(0.2, 160.0)
<b>NICU admission</b>	7 (17.5%)	4 (50.0%)	11 (61.1%)	22 (33.3%)
<b>Neonatal death (≤ 28 days of life)</b>	0 (0.0%)	1 (12.5%)	1 (5.6%)	2 (3.0%)

329

330

331 **References**

332

- 333 1. Ware RE, de Montalembert M, Tshilolo L, Abboud MR. Sickle cell disease. *Lancet*.  
334 2017;390(10091):311-323.
- 335 2. Platt OS, Brambilla DJ, Rosse WF, Milner PF, Castro O, Steinberg MH, Klug PP. Mortality in sickle  
336 cell disease. Life expectancy and risk factors for early death. *N Engl J Med*. 1994;330(23):1639-  
337 1644.
- 338 3. Oteng-Ntim E, Meeks D, Seed PT, Webster L, Howard J, Doyle P, Chappell LC. Adverse maternal  
339 and perinatal outcomes in pregnant women with sickle cell disease: systematic review and  
340 meta-analysis. *Blood*. 2015;125(21):3316-3325.
- 341 4. Villers MS, Jamison MG, De Castro LM, James AH. Morbidity associated with sickle cell disease in  
342 pregnancy. *American Journal of Obstetrics and Gynecology*. 2008;199(2):125 e121-125.
- 343 5. American College of Obstetricians and Gynecologists' Committee on Practice Bulletins -  
344 Obstetrics. Prediction and Prevention of Spontaneous Preterm Birth: ACOG Practice Bulletin,  
345 Number 234. *Obstetrics and Gynecology*. 2021;138(2):e65-e90.
- 346 6. Goldenberg RL, Culhane JF, Iams JD, Romero R. Epidemiology and causes of preterm birth.  
347 *Lancet*. 2008;371(9606):75-84.
- 348 7. Ananth CV, Joseph KS, Oyelese Y, Demissie K, Vintzileos AM. Trends in preterm birth and  
349 perinatal mortality among singletons: United States, 1989 through 2000. *Obstetrics and  
350 gynecology*. 2005;105(5 Pt 1):1084-1091.
- 351 8. Craig A, Dotters-Katz S, Weaver K, Gilner J, Swamy G, Hughes B, Wheeler S. 96 Preterm birth  
352 disparities at a single United States academic institution during the COVID pandemic. *American  
353 Journal of Obstetrics and Gynecology*. 2021;224(2):68S.
- 354 9. Fetal Growth Restriction: ACOG Practice Bulletin, Number 227. *Obstetrics and Gynecology*.  
355 2021;137(2):e16-e28.
- 356 10. Gestational Hypertension and Preeclampsia: ACOG Practice Bulletin, Number 222. *Obstetrics  
357 and Gynecology*. 2020;135(6):e237-e260.
- 358 11. Razaz N, Boyce WT, Brownell M, Jutte D, Tremlett H, Marrie RA, Joseph KS. Five-minute Apgar  
359 score as a marker for developmental vulnerability at 5 years of age. *Arch Dis Child Fetal  
360 Neonatal Ed*. 2016;101(2):F114-120.
- 361 12. Sun PM, Wilburn W, Raynor BD, Jamieson D. Sickle cell disease in pregnancy: twenty years of  
362 experience at Grady Memorial Hospital, Atlanta, Georgia. *American Journal of Obstetrics and  
363 Gynecology*. 2001;184(6):1127-1130.
- 364 13. Smith JA, Espeland M, Bellevue R, Bonds D, Brown AK, Koshy M. Pregnancy in sickle cell disease:  
365 experience of the Cooperative Study of Sickle Cell Disease. *Obstetrics and Gynecology*.  
366 1996;87(2):199-204.
- 367 14. Voltolini C, Torricelli M, Conti N, Vellucci FL, Severi FM, Petraglia F. Understanding spontaneous  
368 preterm birth: from underlying mechanisms to predictive and preventive interventions. *Reprod  
369 Sci*. 2013;20(11):1274-1292.
- 370 15. Booth C, Inusa B, Obaro SK. Infection in sickle cell disease: a review. *Int J Infect Dis*.  
371 2010;14(1):e2-e12.
- 372 16. Roberts JM, Taylor RN, Musci TJ, Rodgers GM, Hubel CA, McLaughlin MK. Preeclampsia: an  
373 endothelial cell disorder. *American Journal of Obstetrics and Gynecology*. 1989;161(5):1200-  
374 1204.
- 375 17. Redman CWG, Staff AC, Roberts JM. Syncytiotrophoblast stress in preeclampsia: the  
376 convergence point for multiple pathways. *American Journal of Obstetrics and Gynecology*. 2020.

- 377 18. Kato GJ, Hebbel RP, Steinberg MH, Gladwin MT. Vasculopathy in sickle cell disease: Biology,  
378 pathophysiology, genetics, translational medicine, and new research directions. *Am J Hematol.*  
379 2009;84(9):618-625.
- 380
- 381